

Docket No.: 42P16532

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MIGUEL GUERRERO, ET AL.

Application No.: 10/611,770

Filed: June 30, 2003

For: **METHOD AND APPARATUS FOR A MULTI-FIELD  
MATCHING SEARCH**

Art Group: Not assigned

Examiner: Not assigned

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

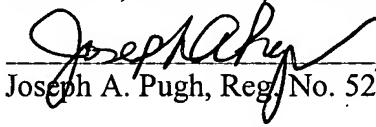
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

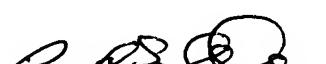
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 21, 2003

  
Joseph A. Pugh, Reg. No. 52,137

5285 S.W. Meadows Road, Suite  
101  
Lake Oswego, OR 97035  
Telephone: (503) 684-6200

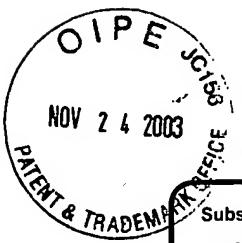
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Derek S. Watson

11-21-03

Date



NOV 24 2003

**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

|  |   |    |   |                          |                 |
|--|---|----|---|--------------------------|-----------------|
| Substitute for form 1449A/PTO                            |   |    |   | <i>Complete if Known</i> |                 |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Application Number       | 10/611,770      |
|  |   |    |   | Filing Date              | June 30, 2003   |
|  |   |    |   | First Named Inventor     | Miguel Guerrero |
|  |   |    |   | Art Unit                 | Not assigned    |
|  |   |    |   | Examiner Name            | Not assigned    |
|  |   |    |   | Attorney Docket Number   | 42P16532        |
| Sheet  | 1 | of | 1 |                          |                 |

## NON-PATENT LITERATURE DOCUMENTS

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

**\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

**\*Applicant's unique citation designation number.** \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

O I P E JC188  
NOV 24 2003  
PATENT & TRADEMARK OFFICE

## TRANSMITTAL FORM

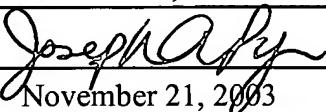
(to be used for all correspondence after initial filing)

|  |                        |                 |
|--|------------------------|-----------------|
| Total Number of Pages in This Submission | Application No.        | 10/611,770      |
|  | Filing Date            | June 30, 2003   |
|  | First Named Inventor   | Miguel Guerrero |
|  | Art Unit               | Not assigned    |
|  | Examiner Name          | Not assigned    |
|  | Attorney Docket Number | 42P16532        |

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Response                                | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert a Provisional Application                  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund   | - Copies of two (2) cited references   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CD(s)  | - Return Postcard  |
| <input checked="" type="checkbox"/> PTO/SB/08                                |   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              |   |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Basic Filing Fee                                    |   |  |
| <input type="checkbox"/> Declaration/POA                                     |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |
|  | Remarks   |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Joseph A. Pugh, Reg. No. 52,137<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP       |
| Signature               |  |
| Date                    | November 21, 2003   |

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|                       |   |      |                   |
|-----------------------|---|------|-------------------|
| Typed or printed name | Derek S. Watson   |      |                   |
| Signature             |  | Date | November 21, 2003 |

Based on PTO/SB/21 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 09/11/2003.  
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# FEET TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

|                      |                 |
|----------------------|-----------------|
| Application Number   | 10/611,770      |
| Filing Date          | June 30, 2003   |
| First Named Inventor | Miguel Guerrero |
| Examiner Name        | Not assigned    |
| Group/Art Unit       | Not assigned    |
| Attorney Docket No.  | 42P16532        |

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Paid               |
|--------------|----------|--------------|----------|------------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |
| 1002         | 340      | 2002         | 170      | Design filing fee      |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |
| SUBTOTAL (1) |          | (\$)         |          |                        |

### 2. EXTRA CLAIM FEES

| Total Claims       | - | 20* | = | Extra Claims | X | Fee from below | = | Fee Paid |
|--------------------|---|-----|---|--------------|---|----------------|---|----------|
| Independent Claims | - | 3   | = |              | X |                | = |          |
| Multiple Dependent | - |     | = |              | X |                | = |          |

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                         |
| 1203         | 290      | 2203         | 145      | Multiple Dependent claim, if not paid                     |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) |          | (\$)         |          |   |

\*\*or number previously paid, if greater, For Reissues, see below

## 3. ADDITIONAL FEES

| Large Entity        | Fee Code | Fee (\$) | Small Entity | Fee Code   | Fee (\$) | Fee Description | Fee Paid |
|---------------------|----------|----------|--------------|--|----------|-----------------|----------|
| 1051                | 130      | 2051     | 65           | Surcharge - late filing fee or oath  |          |                 |          |
| 1052                | 50       | 2052     | 25           | Surcharge - late provisional filing fee or cover sheet                     |          |                 |          |
| 2053                | 130      | 2053     | 130          | Non-English specification  |          |                 |          |
| 1812                | 2,520    | 1812     | 2,520        | For filing a request for ex parte reexamination                            |          |                 |          |
| 1804                | 920 *    | 1804     | 920 *        | Requesting publication of SIR prior to Examiner action                     |          |                 |          |
| 1805                | 1,840 *  | 1805     | 1,840 *      | Requesting publication of SIR after Examiner action                        |          |                 |          |
| 1251                | 110      | 2251     | 55           | Extension for reply within first month                                     |          |                 |          |
| 1252                | 420      | 2252     | 210          | Extension for reply within second month                                    |          |                 |          |
| 1253                | 950      | 2253     | 475          | Extension for reply within third month                                     |          |                 |          |
| 1254                | 1,480    | 2254     | 740          | Extension for reply within fourth month                                    |          |                 |          |
| 1255                | 1,210    | 2255     | 605          | Extension for reply within fifth month                                     |          |                 |          |
| 1404                | 330      | 2401     | 165          | Notice of Appeal   |          |                 |          |
| 1402                | 330      | 2402     | 165          | Filing a brief in support of an appeal                                     |          |                 |          |
| 1403                | 290      | 2403     | 145          | Request for oral hearing   |          |                 |          |
| 1451                | 1,510    | 2451     | 1,510        | Petition to institute a public use proceeding                              |          |                 |          |
| 1452                | 110      | 2452     | 55           | Petition to revive - unavoidable   |          |                 |          |
| 1453                | 1,330    | 2453     | 665          | Petition to revive - unintentional   |          |                 |          |
| 1501                | 1,330    | 2501     | 665          | Utility issue fee (or reissue)   |          |                 |          |
| 1502                | 480      | 2502     | 240          | Design issue fee   |          |                 |          |
| 1503                | 640      | 2503     | 320          | Plant issue fee  |          |                 |          |
| 1460                | 130      | 2460     | 130          | Petitions to the Commissioner  |          |                 |          |
| 1807                | 50       | 1807     | 50           | Processing fee under 37 CFR 1.17(q)  |          |                 |          |
| 1806                | 180      | 1806     | 180          | Submission of Information Disclosure Stmt                                  |          |                 |          |
| 8021                | 40       | 8021     | 40           | Recording each patent assignment per property (times number of properties) |          |                 |          |
| 1809                | 770      | 1809     | 385          | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |                 |          |
| 1810                | 770      | 2810     | 385          | For each additional invention to be examined (37 CFR § 1.129(b))           |          |                 |          |
| 1801                | 770      | 2801     | 385          | Request for Continued Examination (RCE)                                    |          |                 |          |
| 1802                | 900      | 1802     | 900          | Request for expedited examination of a design application                  |          |                 |          |
| Other fee (specify) |          |          |              |  |          |                 |          |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

|                   |                |                                      |        |           |                |
|-------------------|----------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Joseph A. Pugh | Registration No.<br>(Attorney/Agent) | 52,137 | Telephone | (503) 684-6200 |
| Signature         |                |                                      |        | Date      | 11/21/03       |

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 08/11/2003.  
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